

Supplemental Security Income Record Maintenance System

- **Name of project**

Supplemental Security Income Record Maintenance System

- **Unique project identifier**

016-00-SSA/DCS-M-005

- **Privacy Impact Assessment (PIA) Contact**

Associate Commissioner
Office of Systems
Office of Benefit Information Systems
Social Security Administration
6401 Security Boulevard
Baltimore, MD 21235

- **System background description or purpose**

The Supplemental Security Income Record Maintenance System (SSIRMS) is a Social Security Administration (SSA) certified and accredited Major Application consisting of several sub-systems and applications associated with receiving, developing, and adjudicating claims for Supplemental Security Income (Title XVI) payments and Special Veterans (Title VIII) benefits, applications for representative payment, and maintenance of representative payment accounting. Although SSIRMS is operational, enhancements continue to be implemented based on legislative and regulatory changes, projects to improve accuracy and efficiency, infrastructure upgrades, and improvements to the user interface.

This system allows us to determine initial and continuing eligibility; establish and maintain records of eligibility; calculate payments; process changes in eligibility; interface with the Department of Treasury (Treasury) to make or stop payments; and, interface with other Federal agencies, such as the Department of Labor and Railroad Retirement Board, to obtain income information to determine eligibility.

- **Describe the information we collect, why we collect the information, how we use the information, and with whom we share the information.**

When an individual applies and becomes eligible for Title XVI payments or Title VIII benefits, SSA sets up and maintains a system of records containing a variety of personal and sensitive information. These records include, but are not limited to, the following: individuals' names, dates of birth, Social Security numbers (SSN), marital status, addresses, benefit and eligibility status, financial institution information including bank account number, disabilities, history of payments made, and other related sensitive information. We collect this information to ensure individuals receive accurate payments or benefits and maintain this information, in accordance with approved records schedules.

We use the information identified above to:

- determine eligibility for Title XVI and Title VIII benefits, including date of eligibility and amount due;
- communicate with beneficiaries and their representatives; and,
- perform all post-adjudicative activities involved in determining entitlement to, or eligibility for, benefits.

We generally disclose information only as necessary to pay benefits to claimants, make payments to entities contracted by SSA to provide services to Title XVI recipients and Title VIII beneficiaries, or as authorized by Federal law. Furthermore, we may also share information with agencies and organizations with whom we have standing agreements for data sharing including computer matching purposes.

SSIRMS consists of the following subsystems and their corresponding technologies:

Subsystem Name:	Summary of Subsystem Function:
Access to Financial Institutions (AFI)	AFI helps reduce Supplemental Security Income (SSI) payment errors by providing an automated, efficient, and economical means of verifying disclosed financial accounts and detecting undisclosed financial accounts with balances that would place the applicant or recipient over the SSI resource limit.
Achieving a Better Life Experience (ABLE)	ABLE plans are defined by States. Money saved in ABLE accounts are excluded with limitations from counting as a resource for SSI. SSA users record the accounts in the SSI Claims System. The State or designated reporter sends ABLE account information monthly to SSA. ABLE accounts are stored in the Modernized Supplemental Security Income Claims System (MSSICS) database.
Daily/Monthly Financial Accounting Exchange (Daily/Monthly FAX)	Daily/Monthly FAX tracks and controls payment and accounting transactions posted to the Supplemental Security Record (SSR).
Electronic Interim Assistance Reimbursement (eIAR)	eIAR automates the Interim Assistance Reimbursement program between SSA and Interim Assistance States.
Foreign Travel Data	Foreign Travel Data controls an interface with the Department of Homeland Security to obtain travel data on claimants, current recipients, and beneficiaries who travel outside the United States, which enables SSA to determine eligibility for SSI benefits.
Fugitive Felon System	Fugitive Felon compares records received from law enforcement agencies to SSI records to identify ineligible beneficiaries who are parole violators or have an outstanding felony warrant.
Internet Representative Payee Accounting (iRPA)	iRPA is an Internet-based application that provides representative payees or authorized employees of

	organizational representative payees the ability to complete online representative payee accounting forms.
Modernized Overpayment Underpayment Report System (MOURS)	MOURS tracks underpayment and overpayment data at the Federal and State levels and prepares aging reports for SSI program debt.
Modernized SSI Claims System	MSSICS provides the front end for SSIRMS and is used by the field offices (FO) to establish new claims and modify existing claims.
Non-Home Real Property (NHRP)	NHRP is a web application that enables SSA to request an investigation, to determine if the SSI claimant owns real property that has not been reported to us.
Representative Payee Accounting	Representative Payee Accounting provides a process used to prevent the misuse of payments received on behalf of a beneficiary or recipient.
Representative Payee System (eRPS)	eRPS collects data about representative payees for Social Security claimants.
SSI Central Office/District Office (CO/DO) Communications	SSI CO/DO Communications transmits transactions, which include formatted alerts, rejections, exceptions, diaries, case control query responses, follow-up listings and formatted off-line query responses to FOs and regional offices.
SSI Computations Subsystem	SSI Computations calculates monthly payment amounts for SSI recipients and SVB beneficiaries. This subsystem also balances records for overpayment and underpayment errors.
SSI Daily Edits (IC/PE)	IC/PE edits and formats data received from internal and external subsystems to prepare SSR update transactions to be processed by downstream processing. This subsystem also separates rejected transactions and routes them to the FO for review and resolution.
SSI Database Extraction/Selection System (DABA)	DABA provides the selection of SSRs based on criteria provided by requesting entities such as process owners, external agencies, and SSI subsystems.
SSI ePath	SSI ePath is an Intranet-based application that provides a tailored path to enable novice SSA employees to collect SSI changes (e.g., contact information and direct deposit).
SSI Exception Control System	SSI Exception Control processes exceptions resulting from Initial Claim (IC) Update transactions and provides statistical data to management. This system also tracks IC data until the claim is adjudicated.
SSI Financial Verification System	SSI Financial Verification processes input files from Treasury; creates transactions that are entered into the SSI Update System; and, generates SSI Exception reports for certification analysts.
SSI Folder Control System	SSI Folder Control monitors the physical location of SSI claim folders and tracks the inactivation, destruction, and referral of SSI claim folders.
SSI Group Totals	SSI Group Totals tracks and controls accounting and

	payment information posted to the Supplemental Security Record (SSR).
SSI Home	SSI Home is an Intranet-based application that provides links to all SSI Intranet applications.
SSI Index System	SSI Index creates index records for all SSNs and Claim Account Numbers on the SSR.
SSI IC Update System	SSI IC Update processes the IC Update transactions to establish SSRs for new claims.
SSI Notices	SSI Notices creates a file to generate notices to SSI claimants and their representatives regarding changes affecting their eligibility, payment status, and personal information. Notice output options include Braille, data Compact Disc (CD), audio CD and large print. This subsystem also creates a file for the telephone call process.
SSI Payment History Corrections	SSI Payment History Corrections provides an online application that allows a select group of users the ability to enter corrections to items received from Treasury that previously did not post to the SSR due to an invalid situation. The items entered will be input into the SSI Financial Verification system on a nightly basis.
SSI Payment System (PAYMT)	PAYMT produces files that are sent to Treasury that contain daily and monthly SSI payments. This subsystem also creates balancing, verification and certification reports which are sent to certification analysts, SSI personnel, and the Payment Web Certification Tool system.
SSI Post Eligibility (PE) Update System	SSI PE Update updates and validates the SSRs with the PE transactions received from SSI subsystems.
SSI Pre/Post Update Operations System	SSI Pre/Post Update Operations creates files for the IC and PE Update subsystems to update the SSRs.
SSI Redeterminations	SSI Redeterminations selects (yearly) a subset of SSI recipients in current pay status to review eligibility and to ensure correct payments are being received.
SSI State Data Exchange (SDX) System	SSI SDX provides States with eligibility, payment, and demographic data relating to the SSI claimants and recipients.
SSI Statistics System (ACCSTATS)	ACCSTATS reports and accounts for daily and monthly SSI payments posted to the SSR. Accounting reports are used in the SSI payment certification process.
SSI Treasury System	SSI Treasury reads SSR Master file (monthly) to generate records of SSI recipients. This subsystem also distributes payment related change records to the SDX and the Daily FAX subsystems.
SSI Wage Reporting – Mobile Wage Reporting	Mobile Wage Reporting collects and updates SSI records with reported wages of claimants for prior months through a mobile application.
SSI Wage Reporting –	SSIMWV is an Intranet-based application used by FO

Monthly Wage Verification (SSIMWV)	employees to input pay stub information for workers on SSI records. This application also documents employer info; generates a receipt for collected wage information; and, transmits data for both MSSICS and non-MSSICS inputs.
SSI Wage Reporting – My Wage Reporting (myWage)	myWage enables Title II disabled beneficiaries, Title XVI disabled recipients, and their representative payees to report wages for each employer using an Internet application.
SSI Wage Reporting – Telephone Wage Reporting (SSITWR)	SSITWR collects and updates SSI records with reported wages of claimants for prior months through a phone interface.
SSI/Bureau of Public Debt (BPD) Savings Bond Interface System	This subsystem compares records received from BPD with SSI records to identify recipients who have unreported bond assets with present values of \$1000 or more.
SSI/Center for Medicare and Medicaid Services (CMS) Nursing Home Admissions Interface System	This subsystem compares records received from CMS with SSI records to identify unreported nursing home admissions.
SSI/Department of Homeland Security (DHS), Immigration and Customs Enforcement and Deportation Interface System	This subsystem compares records received from DHS with SSI records to identify individuals who are ineligible for benefits due to their immigration status.
SSI/Department of Defense (DOD) Interface System	This subsystem sends finder files to DOD and receives DOD military payment data. This data is formatted into alerts.
SSI/Department of Labor Black Lung Part B Interface System	This subsystem inputs Black Lung data and builds income transactions used to post Black Lung Income to the SSR and MSSICS.
SSI/Internal Revenue Service (IRS) Pension & 1099 Interface System	This subsystem receives pension and 1099 data from IRS.
SSI/Master Beneficiary Record Interface System	This subsystem inputs, edits, and posts Title II income and Title XVIII entitlement to the SSR and MSSICS.
SSI/Master Earnings File (MEF) Interface System	This subsystem inputs Master Earnings data and builds MEF transactions used to post alerts to the SSR.
SSI/Office of Child Support & Enforcement Interface System	This subsystem inputs wage and unemployment data and builds wage and unemployment transactions used to post alerts to the SSR.
SSI/Office of Personnel Management Interface System	This subsystem inputs civil service data and builds income transactions used to post civil service income to the SSR and MSSICS.
SSI/Railroad Retirement Board (RRB) Interface System	This subsystem inputs RRB data and builds Income transactions used to post RRB income to the SSR and MSSICS.
SSI/Veterans Administration	This subsystem inputs veteran's data and builds income

Interface System	transactions used to post veteran’s income to the SSR and MSSICS.
StaRZ & Stripes (SSTNG)	SSTNG is an Intranet-based application which is used by Customer Representatives in FOs to manage redetermination caseloads, pending case selections, and scheduling appointments.
Wage Authorization	Wage Authorization is an Intranet-based application which collects permission from Title II/XVI claimants, deemors, or approved third parties to obtain wage information from a payroll provider.

- **Describe the administrative and technological controls that we have in place to secure the information we collect.**

We completed authentication and security risk analyses on SSIRMS. The security risk analyses include an evaluation of security and audit controls proven effective in protecting the information collected, stored, processed, and transmitted by our information systems. These include technical, management, and operational controls that permit access to those users who have an official “need to know.” Audit mechanisms are in place to record sensitive transactions as an additional measure to protect information from unauthorized disclosure or modification.

SSA mandates annual privacy and information security awareness training, role-based training for personnel performing roles with significant cybersecurity responsibilities, and the reporting and retaining of completed training. Additionally, all staff who have access to our information systems that maintain personal information must sign a sanctions document annually that acknowledges penalties for unauthorized access to, or disclosure of such information.

- **Describe the impact on an individual’s privacy rights.**

We collect information when we have specific legal authority to do so in order to administer our responsibilities under the Social Security Act. When we collect personal information from individuals, we advise them of our legal authority for requesting the information, the purposes for which we will use and disclose the information, and the consequences of their not providing any or all of the requested information. The individuals can then make an informed decision as to whether or not they want to provide the information.

- **Do we afford individuals an opportunity to consent to only particular uses of the information?**

When we collect information from individuals, we advise them of the purposes for which we will use the information. We further advise them that we will disclose this information without their prior written consent only when we have specific legal authority to do so (e.g., the Privacy Act).

• **Does the collection of this information require a new system of records under the Privacy Act (5 U.S.C. § 552a) or an alteration to an existing system of records?**

No, we have established systems of records that govern the information we collect, use, and maintain for business purposes through this system and its various sub-systems. For example:

- Wage information is governed by the Earnings Recording and Self-Employment Income System (60-0059);
- Claims file information is governed by the Claims Folders System (60-0089);
- Benefit payment data in the Title II System is governed by the Master Beneficiary Record (60-0090);
- Accounting information is governed by the Recovery of Overpayments, Accounting and Reporting/Debt Management System (60-0094);
- Eligibility and applications for the SSI program is governed by SSI Record and Special Veterans Benefits (60-0103);
- Representative payee information is governed by the Master Representative Payee File (60-0222);
- Prisoner information is governed by the Prisoner Update Processing System (60-0269); and
- Information used to administer and maintain our e-Authentication infrastructure (Internet-based processes) is covered by the Central Repository of Electronic Authentication Data Master File (60-0373).

PIA CONDUCTED BY PRIVACY OFFICER, SSA:



3/25/2021

Matthew D. Ramsey
Executive Director
Office of Privacy and Disclosure

DATE

PIA REVIEWED BY SENIOR AGENCY PRIVACY OFFICIAL, SSA:



3/25/2021

Royce Min
General Counsel
Senior Agency Official for Privacy

DATE